

法國興業銀行台北分行內部控制制度聲明書

Societe Generale Taipei Branch
Internal Control System Statement

謹代表法國興業銀行台北分行聲明本銀行於 101 年 1 月 1 日至 101 年 12 月 31 日確實遵循「金融控股公司及銀行業內部控制及稽核制度實施辦法」，建立內部控制制度，實施風險管理，並由超然獨立之稽核部門執行查核，定期陳報總行或亞洲區主管。經審慎評估，本年度各單位內部控制及法規遵循情形，均能確實有效執行。

By representing Societe Generale, Taipei Branch (“Bank”), the Bank hereby states that from January 1, 2012 to December 31, 2012, the Bank has duly complied with the “Enforcement Regulations for Financial Holding Company and Bank International Audit Control Systems” in establishing its internal control system, implementing risk management, designating an independent and objective department to conduct audits, and regularly reporting to Head Office or Regional Office. After prudent evaluation, the internal control and legal compliance systems of each department have been in effect during the year.

謹 致
(To):

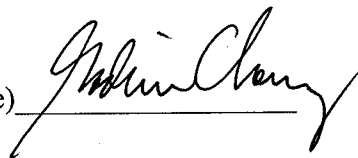
金融監督管理委員會
(Financial Supervisory Commission)

聲明人
(Undersigned)

分行經理: 張建西
(Branch Manager): Godwin Chang

(簽章)

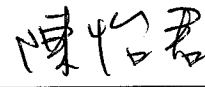
(Signature)



稽核: 陳怡君
(Auditor): Elicia Chen

(簽章)

(Signature)



法令遵循主管: 陳彥豪
(Compliance Officer): Albert Chen

(簽章)

(Signature)



中 華 民 國 一 〇 二 年 四 月 二 十 五 日

法國興業銀行台北分行
內部控制制度應加強事項及改善計畫
Societe General Taipei Branch
The Enhancement Items and Improvement Plans under the Internal Control System

(基準日：101年12月31日)
(Cut Off Date: 31 December 2012)

應加強事項 Enhancement Items	改善措施 Improvement Measures	預定完成改善時間 Target Date
不適用。本年度稽核人員所建議事項皆已執行完畢或正在進行改善中。 Not applicable. The recommendations made by the internal auditors have been completed or are still under progress.		