



法國興業銀行銀行台北分行內部控制制度聲明書

Societe Generale Taipei Branch
Statement of Internal Control

謹代表法國興業銀行台北分行聲明本銀行於中華民國 103 年 1 月 1 日至 103 年 12 月 31 日確實遵循「金融控股公司及銀行業內部控制及稽核制度實施辦法」，建立內部控制制度，實施風險管理，並由超然獨立之稽核部門執行查核，定期陳報總行或亞洲區域總部主管。經審慎評估，本年度各單位內部控制及法規遵循制度，均能確實有效執行。

By representing Societe Generale Taipei Branch (the “Bank”), the Bank hereby states that from 1 January 2014 to 31 December 2014, the Bank has duly complied with the “Enforcement Regulations for Financial Holding Company and Bank Internal Audit Control System” in establishing its internal control system, implementing risk management, designating an independent and objective department to conduct audits, and regularly reporting to Societe Generale Head Office or Regional Office. After prudent evaluation, the internal control and regulatory compliance of each department was implemented effectively in the year 2014.

謹 致

To

金融監督管理委員會
Financial Supervisory Commission

聲明人

Undersigned

分行經理：張建西
Branch Manager: Godwin Chang

(簽章)

(Signature)

稽核：蘇健文
Auditor: Kathy Su

(簽章)

(Signature)

法令遵循主管：王志君
Head of Compliance in Taiwan

(簽章)

(Signature)

中 華 民 國 一 〇 四 年 三 月 九 日



法國興業銀行銀行台北分行
內部控制制度應加強事項及改善計畫
Societe Generale Taipei Branch

Enhancement Items and Improvement Plans under Internal Control System

(基準日：103 年 12 月 31 日)
(As of 31 December 2014)

應 加 強 事 項 Enhancement Items	改 善 措 施 Improvement Measures	預 定 完 成 改 善 時 間 Target Date
<p>不適用。本年度稽核人員建議事項皆已執行完畢或正在進行改善中。</p> <p>Not applicable. The recommendations made by the internal auditors have been completed or are currently under progress.</p>		